PROPERTIES OF RATIONALIZED TOEPLITZ HANKEL OPERATORS

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ABSTRACT. In this paper, we introduce and study the notion of Rationalized Toeplitz Hankel Matrix of order (k_1, k_2) as the two way infinite matrix (α_{ij}) such that

$$\alpha_{ij} = \alpha_{i+k_2, j+k_1}$$

where k_1 and k_2 are relatively prime non zero integers. It is proved that a bounded linear operator R on L^2 is a Rationalized Toeplitz Hankel operator [5] of order (k_1, k_2) if and only if its matrix w.r.t. the orthonormal basis $\{z^i : i \in \mathbb{Z}\}$ is a Rationalized Toeplitz Hankel matrix of the same order. Some algebraic properties of the Rationalized Toeplitz Hankel operator R_{φ} like normality, hyponormality and compactness are also discussed.

1. Introduction

The study of Toeplitz operators began by O. Toeplitz in 1911. A lot of work on Toeplitz and Hankel operators has been done by different mathematicians in the world. Toeplitz operators and Hankel operators became a subject of investigations for the researchers. Motivated by these, M. C. Ho [7] in the year 1995 introduced slant Toeplitz operators and later the notion of slant Hankel operators was introduced. Further, these notions have been generalized [2] to kth order slant Toeplitz and slant Hankel operators and studied simultaneously.

Motivated by all these, in [5] we introduced Rationalized Toeplitz Hankel operators which give the rationalization of all kinds of Toeplitz and Hankel operators.

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For $\varphi \in L^{\infty}$ a Rationalized Toeplitz Hankel operator on the space L^2 of order (k_1, k_2) is defined as

$$R_{\varphi}: L^2 \to L^2$$

$$R_{\varphi}(f) = W_{k_1} M_{\varphi} W_{k_2}^*(f) \ \forall f \in L^2$$

where k_1 and k_2 are non zero integers and $W_k(z^i) = \left\{ \begin{array}{ll} z^{i|k}, & i \text{ is divisible by } k \\ 0, & \text{otherwise} \end{array} \right\}$.

It is proved in [5] that if k_1 and k_2 are relatively prime then a bounded linear operator R on L^2 is a Rationalized Toeplitz Hankel operator if and only if $M_{z^{k_2}}R = RM_{z^{k_1}}$. Therefore if (α_{ij}) in the matrix of R_{φ} w.r.t the orthonormal basis $\{z^i : i \in \mathbb{Z}\}$ then if $\varphi = \sum a_i z^i$ is the Fourier expansion of φ .

$$\alpha_{ij} = \langle R_{\varphi} z^{j}, z^{i} \rangle$$

$$= \langle W_{k_{1}} M_{\varphi} W_{k_{2}}^{*} z^{j}, z^{i} \rangle$$

$$= \langle M_{\varphi} z^{k_{2}j}, z^{k_{1}i} \rangle$$

$$= \langle \varphi, z^{k_{1}i - k_{2}j} \rangle$$

$$= a_{k_{1}i - k_{2}j}.$$

That is,

$$\begin{pmatrix}
\vdots & \vdots & \vdots & \vdots \\
....a_{-k_1+k_2} & a_{-k_1} & a_{-k_1-k_2} & a_{-k_1-2k_2....} \\
....a_{k_2} & a_0 & a_{-k_2} & a_{-2k_2....} \\
....a_{k_1+k_2} & a_{k_1} & a_{k_1-k_2} & a_{k_1-2k_2....} \\
\vdots & \vdots & \vdots & \vdots
\end{pmatrix}.$$

2. Rationalized Toeplitz Hankel Matrix

We begin with the following definition:

Definition 2.1. If k_1 and k_2 are relatively prime integers then the $(k_1, k_2)^{th}$ order Rationalized Toeplitz Hankel Matrix is defined as a two way infinite matrix (α_{ij}) such

that

$$\alpha_{ij} = \alpha_{i+k_2,j+k_1}$$
.

Note: We note that this Rationalized Toeplitz Hankel matrix is the Generalized Matrix of all kinds of Toeplitz, Hankel matrices. This can be observed with the following

(1) If
$$k_1 = k_2 = 1$$
, then $R_{\varphi} = M_{\varphi}$, and so matrix
$$(\alpha_{ij}) = (\alpha_{i+1,j+1}) .$$
 That is,

$$\begin{pmatrix}
\vdots & \vdots & \vdots & \vdots & \vdots \\
....a_0 & a_{-1} & a_{-2} & a_{-3} & \\
....a_1 & a_0 & a_{-1} & a_{-2} & \\
....a_2 & a_1 & a_0 & a_{-1} & \\
\vdots & \vdots & \vdots & \vdots & \vdots
\end{pmatrix}$$

which is the matrix which is constant along diagonals. i.e. two way infinite Toeplitz matrix .

(2) if $k_1 = -1, k_2 = 1$, then $\alpha_{ij} = \alpha_{i+1,j-1}$ which is a two way infinite Hankel matrix on L^2

$$\begin{pmatrix}
\vdots & \vdots & \vdots & \vdots \\
....a_2 & a_1 & a_0 & a_{-1}.... \\
....a_1 & a_0 & a_{-1} & a_{-2}.... \\
....a_0 & a_{-1} & a_{-2} & a_{-3}.... \\
\vdots & \vdots & \vdots & \vdots
\end{pmatrix}.$$

(3) If $k_1 = 2$, $k_2 = 1$, then $R_{\varphi} = W_2 M_{\varphi}$ which is the two way infinite slant Toeplitz matrix $\alpha_{ij} = \alpha_{i+1,j+2}$

$$\begin{pmatrix}
\vdots & \vdots & \vdots & \vdots \\
....a_{-1} & a_{-2} & a_{-3} & a_{-4}.... \\
& & & & & & \\
....a_{1} & a_{0} & a_{-1} & a_{-2}.... \\
....a_{3} & a_{2} & a_{1} & a_{0}.... \\
\vdots & \vdots & \vdots & \vdots
\end{pmatrix}.$$

(4) If $k_1 = -2$ and $k_2 = 1$, then it would be a two way infinite slant Hankel matrix

$$\alpha_{ij} = \alpha_{i+1,j-2}$$

$$\begin{pmatrix}
\vdots & \vdots & \vdots & \vdots \\
\dots a_3 & a_2 & a_1 & a_0 \dots \\
\dots a_1 & a_0 & a_{-1} & a_{-2} \dots \\
\dots a_{-1} & a_{-2} & a_{-3} & a_{-4} \dots \\
\vdots & \vdots & \vdots & \vdots
\end{pmatrix}.$$

Remark 1. If k_1 and k_2 are not relatively prime that is if k_1 and k_2 have the greatest common divisor as d, i.e. let $k_1 = dn$ and $k_2 = dm$, then also it is proved in [5], that a bounded operator R on L^2 , (for a pair of non zero integers k_1 and k_2), is Rationalized Toeplitz Hankel operator if and only if

$$R \mid \widetilde{N_i} = W_m M_{\tilde{\omega}_i} W_n^* / \tilde{N_i}$$

for some $\tilde{\varphi}_i$ in L^{∞} and for $i=1,2,....d-1, k_1=dn, k_2=dm$ $L^2=\tilde{N_0}\oplus\tilde{N_1}\oplus....\oplus\tilde{N_{d-1}},$ where $\tilde{N_0}=N_0\oplus N_1\oplus....N_{m-1}$ $\tilde{N_1}=N_m\oplus N_{m+1}\oplus....N_{2m-1}$ \vdots

$$\tilde{N_{d-1}} = N_{(d-1)m} \oplus N_{(d-1)m+1} \oplus \dots N_{dm-1}$$

 $N_i = \text{ The closed linear span of } \{z^{k_1t+1}: t \in \mathbb{Z}\} \text{ for } i = 0, 1, 2, \dots, k_1 - 1$.

Thus for any non zero integers k_1 and k_2 we can define the Rationalized Toeplitz

Hankel matrix of order (k_1, k_2) as

$$\alpha_{ij} = \alpha_{i + \frac{k_2}{d}, j + \frac{k_1}{d}}$$

where $d = gcd(k_1, k_2)$.

We proceed with the following result.

Theorem 2.1. A necessary and sufficient condition for a bounded operator R on L^2 is a Rationalized Toeplitz Hankel operator of order (k_1, k_2) is that its matrix w.r.t. the orthonormal basis $\{z^i : i \in \mathbb{Z}\}$ is a Rationalized Toeplitz Hankel matrix of order (k_1, k_2) , where k_1 and k_2 are nonzero relatively prime integers.

Proof. Let R be a Rationalized Toeplitz Hankel operator of order (k_1, k_2) and (α_{ij}) be the matrix of R w.r.t. the orthonormal basis $\{z : i \in \mathbb{Z}\}$

$$\alpha_{ij} = \langle R_{\varphi}z^{j}, z^{i} \rangle$$

$$= \langle W_{k_{1}}M_{\varphi}W_{k_{2}}^{*}z^{j}, z^{i} \rangle$$

$$= \langle M_{\varphi}W_{k_{2}}^{*}z^{j}, W_{k_{1}}^{*}z^{i} \rangle$$

$$= \langle \varphi, z^{k_{1}i-k_{2}j} \rangle$$

$$= a_{k_{1}i-k_{2}j}$$

$$= a_{k_{1}(i+k_{2})-k_{2}(j+k_{1})}$$

$$= \alpha_{i+k_{2},j+k_{1}}.$$

 \Rightarrow (α_{ij}) is the Rationalized Toeplitz Hankel matrix of order (k_1, k_2) . Conversely, let (α_{ij}) be the Rationalized Toeplitz Hankel operator of order (k_1, k_2) . This implies for all i, j,

$$\langle Rz^{j}, z^{i} \rangle = \alpha_{ij}$$

$$= \alpha_{i+k_{2}, j+k_{1}}$$

$$= \langle Rz^{j+k_{1}}, z^{i+k_{2}} \rangle.$$

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Now

$$\begin{split} \left\langle M_{z^{k_2}}Rz^j, z^i \right\rangle &= \left\langle Rz^j, z^{i-k_2} \right\rangle \\ &= \left\langle Rz^{j+k_1}, z^i \right\rangle \\ &= \left\langle RM_{z^{k_1}}z^j, z^i \right\rangle \;. \end{split}$$

This is true for all i, j

$$M_{z^{k_2}}Rz^j = RM_{z^{k_1}}z^j \quad \forall j \in \mathbb{Z}$$

$$\Rightarrow M_{z^{k_2}}R = RM_{z^{k_1}}.$$

Thus by [5] R is a Rationalized Toeplitz Hankel operator of order (k_1, k_2) . This completes the proof. In view of the above, for $\varphi \in L^{\infty}$, $R_{\varphi}: L^2 \to L^2$ is defined as

$$R_{\varphi}(z^{i}) = \sum_{t=-\infty}^{\infty} a_{k_{1}t-k_{2}i}z^{t}$$

where $\varphi = \sum a_i z^i$ is the Fourier expansion of φ . The adjoint R_{φ}^* , of the operator R_{φ} , is defined as

$$\langle R_{\varphi}^* z^j, z^i \rangle = \langle z^j, R_{\varphi} z^i \rangle$$

$$= \overline{\langle R_{\varphi} z^i, z^j \rangle}$$

$$R_{\varphi}^* (z^j) = \sum_{t=-\infty}^{\infty} \bar{a}_{k_1 j - k_2 t} z^t.$$

We can see that the adjoint R_{φ}^* in not a Rationalized Toeplitz Hankel operator.

3. Properties of R_{φ}

We begin with the following

Theorem 3.1. Let M_{φ} be the Multiplication operator. Then the product $M_{\varphi}R_{\psi}$ is the Rationalized Toeplitz Hankel operator and $M_{\varphi(z)}R_{\psi(z)} = R_{\varphi(z^{k_1})\psi(z)}$.

Proof. M_{φ} in the Multiplication operator and R_{ψ} in the Rationalized Toeplitz Hankel operator, so consider

$$\begin{split} M_{z^{k_2}}\left(M_{\varphi}R_{\psi}\right) &= M_{\varphi}M_{z^{k_2}}R_{\psi} \\ &= \left(M_{\varphi}R_{\psi}\right)M_{z^{k_1}} \ . \end{split}$$

This gives by [5] that $M_{\varphi}R_{\psi}$ in Rationatized Toeplitz Hankel operator. Moreover

$$M_{\varphi(z)}R_{\psi(z)} = M_{\varphi(z)}W_{k_1}M_{\psi(z)}W_{k_2}^*$$

$$= W_{k_1}M_{\varphi(z^{k_1})}M_{\psi(z)}W_{k_2}^*$$

$$= W_{k_1}M_{\varphi(z^{k_1})\psi(z)}W_{k_2}^*$$

$$= R_{\varphi(z^{k_1})\psi(z)}.$$

Thus $M_{\varphi}R_{\psi}$ is a Rationalized Toeplitz Hankel operator with

$$M_{\varphi(z)}R_{\psi(z)} = R_{\varphi(z^{k_1})\psi(z)}$$
.

(ii) $R_{\psi}M_{\varphi} = M_{\varphi}R_{\psi}$ iff φ is constant

$$W_{k_1} M_{\psi(z)} W_{k_2}^* M_{\varphi} = M_{\varphi} W_{k_1} M_{\psi} W_{k_2}^* \Leftrightarrow W_{k_1} M_{\psi(z)\varphi(z^{k_2})} W_{k_2}^* = W_{k_1} M_{\varphi(z^{k_1})\psi(z)} W_{k_2}^* \Leftrightarrow \psi(z) \varphi\left(z^{k_2}\right) = \varphi_{(z^{k_1})} \psi(z).$$

As $\psi(z)$ is invertible, $\varphi \to R_{\psi}$ is one one, thus $\varphi = \text{constant}$.

Theorem 3.2. If for some φ in L^{∞} , φ^{-1} also belongs to L^{∞} , then

$$\sigma_p\left(R_{\varphi\left(z^{k_1}\right)}\right) = \sigma_p\left(R_{\varphi\left(z^{k_2}\right)}\right)$$

where σ_p is the point spectrum.

Proof. Let
$$\lambda \in \sigma_p\left(R_{\varphi(z^{k_2})}\right)$$

$$\begin{split} R_{\varphi\left(z^{k_2}\right)}f &= \lambda f \text{ Let } F = \varphi f. \text{ Then} \\ R_{\varphi\left(z^{k_1}\right)}F &= W_{k_1}\left(M_{\varphi\left(z^{k_1}\right)}W_{k_2}^*\right)F \\ &= M_{\varphi}W_{k_1}W_{k_2}^*\varphi f \\ &= M_{\varphi}W_{k_1}M_{\varphi\left(z^{k_2}\right)}W_{k_2}^*f \\ &= \varphi R_{\varphi\left(z^{k_2}\right)}f \\ &= \varphi \lambda f \\ &= \lambda \varphi f \\ &= \lambda f \; . \end{split}$$

Since $\varphi \neq 0$ i.e. we have $F = \varphi f$ in also non zero $\Rightarrow \lambda \in \sigma_p(R_{\varphi(z^{k_1})})$.

Conversely, suppose that $\mu \in \sigma_p\left(R_{\varphi(z^{k_1})}\right)$ so there exists a non zero function g in L^2

such that $R_{\varphi\left(z^{k_1}\right)}g=\mu g$. Let $G=\varphi^{-1}g$

$$\begin{split} R_{\varphi(z^{k_2})}G &= W_{k_1} M_{\varphi(z^{k_2})} W_{k_2}^* G \\ &= W_{k_1} \varphi \left(z^{k_2} \right) W_{k_2}^* \varphi^{-1} g \\ &= W_{k_1} \varphi \left(z^{k_2} \right) \varphi^{-1} \left(z^{k_2} \right) W_{k_2}^* g \\ &= W_{k_1} W_{k_2}^* g \\ &= W_{k_1} W_{k_2}^* \varphi \varphi^{-1} g \\ &= \varphi^{-1} \varphi W_{k_1} W_{k_2}^* g \\ &= \varphi^{-1} W_{k_1} \varphi (z^{k_1}) W_{k_2}^* g \\ &= \varphi^{-1} W_{k_1} M_{\varphi(z^{k_1})} W_{k_2}^* g \\ &= \varphi^{-1} R_{\varphi(z^{k_1})} g \\ &= \varphi^{-1} \mu g \\ &= \mu \varphi^{-1} g \\ &= \mu G \; . \end{split}$$

Since $\varphi^{-1}g$ is also non zero $\Rightarrow \mu \in \sigma_p\left(R_{\varphi(z^{k_2})}\right)$. Hence $\sigma_p\left(R_{\varphi(z^{k_1})}\right) = \sigma_p\left(R_{\varphi(z^{k_2})}\right)$.

Further we will discuss some properties as follows

Theorem 3.3. For any non zero integer k, the Rationalized Toeplitz Hankel operator $R_{\varphi} = W_k M_{\varphi} W_k^*$ is normal. Moreover $R_{\varphi} = W_k M_{\varphi} W_k^*$ is self adjoint if and only if $W_k \varphi = W_k \bar{\varphi}$.

Proof. Since we proved that in [5]

$$W_k M_{\varphi} W_k^* = M_{W_k \varphi}$$

It follows that R_{ϕ} is normal. It is self adjoint if only if

$$W_k \varphi = \overline{W_k \varphi} = W_k \bar{\varphi} .$$

Theorem 3.4. $W_k M_{\varphi} W_{-k}^*$ is self adjoint if and only if $W_k \varphi = W_{-k} \bar{\varphi}$ Also it is hyponormal if and only if it is normal, i.e., if and only if

$$|W_k \varphi|^2 = |W_{-k} \varphi|^2$$

Proof.

$$As \ ||W_k|| = 1$$
, so
$$W_k M_{\varphi} W_{-k}^* = (W_k M_{\varphi} W_k^*) W_{-1}^*$$
$$= M_{W_k \varphi} W_{-1}$$
 and $(M_{W_k \varphi} W_{-1})^* = W_{-1} M_{W_k \bar{\varphi}}$
$$= M_{W_{-k} \bar{\varphi}} W_{-1} \ .$$

It follows that $M_{W_{k\varphi}}W_{-1}$ is self adjoint if and only if $W_k\varphi = W_{-k}\bar{\varphi}$. We also observe that $(M_{W_k\varphi}W_{-1})(M_{W_k\varphi}W_{-1})^* = M_{|W_k\varphi|^2}$ and

$$(M_{W_{-k}}\varphi W_{-1}) (M_{W_{-k}\varphi} W_{-1})^* = M_{|W_{-k}\varphi|^2}.$$

So the Rationalized Toeplitz Hankel. $W_k M_{\varphi} W_{-k}^*$ is hyponormal if and only if $M_{|W_{-k}\varphi|^2-|W_k\varphi|^2} \geq 0$.

Or equivalenty

$$|W_k \varphi|^2 \ge |W_{-k} \varphi|^2$$
.

Therefore, $W_k M_{\varphi} W_{-k}^*$ is hyponormal if and only if

$$|W_k \varphi|^2 = |W_{-k} \varphi|^2 .$$

i.e., if only if it is normal. Thus Rationalized Toeplitz Hankel of all of this type is hyponormal if and only if it is normal. But, we can not get the same result if k_1 and k_2 are two different integers. For this we have the following

Theorem 3.5. Let k_1 and k_2 are non zero integers such that $|k_1| \neq |k_2|$, then

- (1) If k_1 and k_2 are relatively prime $R_{\varphi} = W_{k_1} M_{\varphi} W_{k_2}^*$ is normal if and only if $\varphi = 0$.
- (2) If k_1 and k_2 are not relatively prime, then $R_{\varphi} = W_{k_1} R_{\varphi} W_{k_2}^*$ is normal if and only if $W_d \varphi = 0$, where d is the greatest common divisor of k_1 and k_2 .

Proof. i) It is given that k_1 and k_2 are non zero integers with $|k_1| \neq |k_2|$. Without loss of generality, we can assume that $|k_1| < |k_2|$. Suppose that $R_{\varphi} = W_{k_1} M_{\varphi} W_{k_2}^*$ is normal. If we consider the basis elements z^0 , z^1 , z^2 , $\cdots z^{|k_1|-1}$, then $||W_{k_1} M_{\varphi} W_{k_2}^* z^n|| = ||W_{k_2} M_{\bar{\varphi}} W_{k_1}^* z^n||$ for $n = 0, 1, 2, \cdots |k_1|-1$. That is $\sum_{i=-\infty}^{\infty} |a_{k_1 i - k_2 n}|^2 = \sum_{i=-\infty}^{\infty} |a_{-k_2 i + k_1 n}|^2$ where $\sum_{l=-\infty}^{\infty} a_i z^i$ is the Fouriers expansion of φ . Since k_1 and k_2 are relatively prime, we have

$$||\varphi||^2 = \sum_{n=0}^{|k_1|-1} \sum_{i=-\infty}^{\infty} |a_{k_1i-k_2n}|^2$$
$$= \sum_{n=0}^{|k_1|-1} \left(\sum_{i=-\infty}^{\infty} |a_{-k_2i+k_1n}|^2\right)$$

This implies that $a_{-k_2i+k_1n} = 0$ for $n = |k_1|, |k_1|+1, \dots |k_2|$. Again consider the basis elements $z^{|k_1|}, z^{|k_1|+1}, \dots, z^{|2k_1|+1|}$. Then by similar arguments, we get for some $n \in \mathbb{Z}$

$$a_{-k_2i+k_1n} = 0$$
.

Continue like this, we get $a_i=0$ for all i. Thus if k_1 and k_2 are relatively prime integer, then the Rationalized Toeplitz Hankel operator $R_{\varphi}=W_{k_1}M_{\varphi}W_{k_2}$ is Normal if and only if $\varphi=0$

ii) If k_1 and k_2 are not relatively prime and let d be the greatest common divisor of k_1 and k_2 suppose $k_1 = dn$ and $k_2 = dm$, then n and m are relatively prime. Therefore,

$$W_{k_1} M_{\varphi} W_{k_2}^* = W_n (W_d M_{\varphi} W_d^*) W_m^*$$
$$= W_n M_{W_d \varphi} W_m^*.$$

So by previous the case, it is normal if and only if $W_d\varphi = 0$. If $|k_1| > |k_2|$, then in a similar way, we can show that $R_{\varphi} = W_{k_1} M_{\varphi} W_{k_2}^*$ hyponormal if and only if it is zero operator.

Remark 2. If $|k_1| \leq |k_2|$, then $W_{k_1} M_{\varphi} W_{k_2}^*$ can be hyponormal without being the zero operator. We have the following example. If

$$k_1=k_2=1$$

$$M_{\varphi}=W_1M_{\varphi}W_1^* \ is \ always \ hyponormal$$

Also $W_{k_2}^* = W_1 M_1 W_{k_2}^*$ is hyponormal, because it is an isometry.

Theorem 3.6. Given a pair of nonzero integer k_1 and k_2 , the Rationalized Toeplitz Hankel operator $R_{\varphi} = W_{k_1} M_{\varphi} W_{k_2}^*$ is compact if and anly if it is zero operator.

Proof. Let n be a positive integer and i and j be any integers. Then

$$\langle W_{k_1} M_{\varphi} W_{k_2}^* z^{j+nk_1}, z^{i+nk_2} \rangle = \langle W_{k_1} M_{\varphi} W_{k_2}^* z^j z^i \rangle$$
$$= a_{k_1 i - k_2 j} .$$

where $\sum_{i=-\infty}^{\infty} a_i z^i$ is the Fourier expansion of φ .

This implies that

$$|a_{k_1i-k_2j}| \le ||W_{k_1}M_{\varphi}W_{k_2}^*z^{j+nk_1}||$$
.

Suppose $W_{k_1}M_{\varphi}W_{k_2}^*$ is compact. Since $z^{j+k_1n} \to 0$ weakly as $k \to \infty$. This implies that

$$||W_{k_1}M_{\varphi}W_{k_2}^*z^{j+nk_1}|| \to 0$$
.

Therefore for all $i, j \in \mathbb{Z}$

$$|a_{k_1i-k_2j}|=0$$
.

 $\Rightarrow \varphi = 0$. That is $W_{k_1} M_{\varphi} W_{k_2}^* = R_{\varphi} = 0$. Hence the Rationalized Toeplitz Hankel operator $R_{\varphi} = W_{k_1} M_{\varphi} W_{k_2}^*$ is compact if and only if $\varphi = 0$.

Conclusion

In this paper, we characterize Rationalized Toeplitz Hankel operators in terms of their matrix. We have got some conditions under which R_{φ} is hyponormal and normal. It is also proved that the only compact operator R_{φ} is the zero operator. In addition, it is proved that for an invertible symbol φ in L^{∞} ,

$$\sigma_p\left(R_{\varphi(z^{k_1})}\right) = \sigma_p\left(R_{\varphi(z^{k_2})}\right)$$

where σ_p is the point spectrum, which will be useful in finding the spectral values of the operator R_{φ} .

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